07/26/02

Approved for use through 10/31/2002 OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

| Application Number     | 10/29/1999     |  |
|------------------------|----------------|--|
| Filing Date            |                |  |
| First Named Inventor   | Marcel M. Yung |  |
| Group Art Unit         | 2131           |  |
| Examiner Name          | Hosuk Song     |  |
| Attorney Docket Number | 10624.0015     |  |

| ENCLOSURES (check all that apply)                      |                                                                |                                                                   |  |  |  |  |
|--------------------------------------------------------|----------------------------------------------------------------|-------------------------------------------------------------------|--|--|--|--|
| Fee Transmittal Form                                   | Assignment Papers (for an Application)                         | After Allowance Communication to Group                            |  |  |  |  |
| Fee Attached                                           | Drawing(s)                                                     | Appeal Communication to Board of Appeals and Interferences        |  |  |  |  |
| Amendment / Reply                                      | Licensing-related Papers                                       | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |  |  |  |  |
| After Final                                            | Petition                                                       | Proprietary Information ·                                         |  |  |  |  |
| Affidavits/declaration(s)                              | Petition to Convert to a Provisional Application               | Status Letter                                                     |  |  |  |  |
| Extension of Time Request                              | Power of Attorney, Revocation Change of Correspondence Address | Other Enclosure(s) (please identify below):                       |  |  |  |  |
| Express Abandonment Request                            | Terminal Disclaimer Request for Refund                         |                                                                   |  |  |  |  |
| Information Disclosure Statement                       | CD, Number of CD(s)                                            | RECEIVED                                                          |  |  |  |  |
| Certified Copy of Priority Document(s)                 | Remarks                                                        | AUG 1 3 2002                                                      |  |  |  |  |
| Response to Missing Parts/ Incomplete Application      | Technology Center 2100                                         |                                                                   |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53    |                                                                |                                                                   |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT             |                                                                |                                                                   |  |  |  |  |
| Firm or Stuart T. F. Hu Individual name Steptoe & John | ang                                                            | AGENT                                                             |  |  |  |  |
| Signature 564 / Ay 34, 184                             |                                                                |                                                                   |  |  |  |  |
| Date July 26, 20                                       | 002                                                            |                                                                   |  |  |  |  |
| CERTIFICATE OF MAILING                                 |                                                                |                                                                   |  |  |  |  |

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Katie S. Lee

Typed or printed name

Signature

PTO/SB/17 (10-01)
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## **TRANSMIT** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

| spond to a collection of information unless it displays a valid OMB control number. |                |  |  |  |
|-------------------------------------------------------------------------------------|----------------|--|--|--|
| Complete if Known                                                                   |                |  |  |  |
| Application Number                                                                  | 09/429,624     |  |  |  |
| Filing Date                                                                         | 10/29/1999     |  |  |  |
| First Named Inventor                                                                | MARCEL M. YUNG |  |  |  |
| Examiner Name                                                                       | Hosuk Song     |  |  |  |
| Group Art Unit                                                                      | 2131           |  |  |  |
| Attorney Docket No.                                                                 | 10624.0015     |  |  |  |

| METHOD OF PAYMENT                                                                                              | FEE CALCULATION (continued)                                                              |              |  |  |
|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|--------------|--|--|
| The Commissioner is hereby authorized to charge                                                                | 3. ADDITIONAL FEES                                                                       |              |  |  |
| indicated fees and credit any overpayments to:  Deposit                                                        | Large Small                                                                              |              |  |  |
| Account Number 19-4293                                                                                         | Entity Entity Fee                                    | e Paid       |  |  |
| Denosit                                                                                                        | Code (\$) Code (\$)                                                                      | e Paid       |  |  |
| Account<br>Name Steptoe & Johnson                                                                              | 105 130 205 65 Surcharge - late filing fee or oath                                       | <del>_</del> |  |  |
| Name Charge Any Additional Fee Required                                                                        | 127 50 227 25 Surcharge - late provisional filing fee or                                 |              |  |  |
| Under 37 CFR 1.16 and 1.17                                                                                     | cover sheet 4                                                                            | <u> </u>     |  |  |
| Applicant claims small entity status. See 37 CFR 1.27                                                          | 139 130 139 130 Non-English specification                                                | ಪ_ ∐         |  |  |
| 2. Payment Enclosed:                                                                                           | 147 2,520 147 2,520 For filing a request for ex parte reexamination                      | ~            |  |  |
| Check C Credit card Money Cother                                                                               | 112 920* 112 920* Requesting publication of SIR prior to Examiner action                 |              |  |  |
| FEE CALCULATION                                                                                                | 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action                | <u> </u>     |  |  |
| 1. BASIC FILING FEE                                                                                            | 115 110 215 55 Extension for reply within first month                                    |              |  |  |
| Large Entity Small Entity                                                                                      | 116 400 216 200 Extension for reply within second month                                  |              |  |  |
| Fee Fee Fee Fee Description                                                                                    | 117 920 217 460 Extension for reply within third month                                   | 920.00       |  |  |
| Code (\$) . Code (\$) Fee Paid  101 740 201 370 Utility filing fee                                             | 118 1,440 218 720 Extension for reply within fourth month                                | l            |  |  |
| 106 330 206 165 Design filing fee                                                                              | 128 1,960 228 980 Extension for reply within fifth month                                 |              |  |  |
| 107 510 207 255 Plant filing fee                                                                               | 119 320 219 160 Notice of Appeal                                                         |              |  |  |
| 108 740 208 370 Reissue filing fee                                                                             | 120 320 220 160 Filing a brief in support of an appeal                                   |              |  |  |
| 114 160 214 80 Provisional filing fee                                                                          | 121 280 221 140 Request for oral hearing                                                 |              |  |  |
|                                                                                                                | 138 1,510 138 1,510 Petition to institute a public use proceeding                        |              |  |  |
| SUBTOTAL (1) (\$) 0.00                                                                                         | 140 110 240 55 Petition to revive - unavoidable                                          |              |  |  |
| 2. EXTRA CLAIM FEES                                                                                            | 141 1,280 241 640 Petition to revive - unintentional                                     |              |  |  |
| Fee from<br>Ext <u>ra Claim</u> s <u>below</u> <u>Fee Paid</u>                                                 | 142 1,280 242 640 Utility issue fee (or reissue)                                         |              |  |  |
| Total Claims -20** = X =                                                                                       | 143 460 243 230 Design issue fee                                                         |              |  |  |
| Independent - 3** = X =                                                                                        | 144 620 244 310 Plant issue fee                                                          |              |  |  |
| Multiple Dependent =                                                                                           | 122 130 122 130 Petitions to the Commissioner                                            |              |  |  |
|                                                                                                                | 123 50 123 50 Processing fee under 37 CFR 1.17(q)                                        |              |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description                                                          | 126 180 126 180 Submission of Information Disclosure Stmt                                |              |  |  |
| Code (\$) Code (\$)<br>103 18 203 9 Claims in excess of 20                                                     | 581 40 581 40 Recording each patent assignment per property (times number of properties) |              |  |  |
| 102 84 202 42 Independent claims in excess of 3                                                                | 146 740 246 370 Filing a submission after final rejection<br>(37 CFR § 1.129(a))         |              |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid                                                          | 149 740 249 370 For each additional invention to be                                      |              |  |  |
| 109 84 209 42 ** Reissue independent claims over original patent                                               | examined (37 CFR § 1.129(b))                                                             |              |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20                                                                 | 179 740 279 370 Request for Continued Examination (RCE)                                  | il           |  |  |
| and over original patent                                                                                       | 169 900 169 900 Request for expedited examination of a design application                | []           |  |  |
| SUBTOTAL (2) (\$) 0.00                                                                                         | Other fee (specify)                                                                      |              |  |  |
| · · · · · · · · · · · · · · · · · · ·                                                                          | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 920.                                 | 00           |  |  |
| **or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) |                                                                                          |              |  |  |

| SUBMITTED BY Complete (if applicable) |                    | f applicable)                            |           |              |
|---------------------------------------|--------------------|------------------------------------------|-----------|--------------|
| Name (Print/Type)                     | Stuart J. F. Huang | Registration No. (Attorney/Agent) 34,184 | Telephone | 202-429-3000 |
| Signature                             | JUN Hy             |                                          | Date      | 07/26/02     |

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